IN THE

United States Patent and Trademark Office

Applicant(s): Hans-Linhard REICH, et al.Docket No.: 17209-019Serial No.: 09/877,439Art Unit: 3692

Filed: June 8, 2001 Examiner: DASS, Harish T.

For: Method and System for Automated Transaction Compliance Processing

Mailstop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

AMENDMENT AND RESPONSE

Further to the Non-Final Office Action dated May 26, 2009 (hereinafter "Office Action"), the October 13, 2009 Examiner interview, and in view of the following amendments and/or remarks, Applicants request entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 13.